

USEPA/OECA/OC  
State and Tribal Assistance Grants (STAG): 1999-2000  
Indiana: Prototype Sector Model for Outcome-based Performance Measures  
2<sup>nd</sup> Quarter Report, 2002  
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**Quarterly Reporting Form for EPA's 2000  
Enforcement and Compliance Assurance Grants**

Reporting Period: Year: 2002

Oct-Dec (due last working day Jan)

**X** Jan-Mar (due last working day Apr)

Apr-Jun (due last working day Jul)

Jul-Sep (due last working day Oct)

**I. Information**

**State and Department:** Indiana Department of Environmental Management  
**Title of Project:** Prototype Sector Model for Outcome-Based Performance Measures  
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**Funds Received by State:** August 10, 2000; \$190,000.00  
**EPA Regional Project Officer:** Linda Mangrum (Region 5)  
**Author of report:** Pam O'Rourke

**II. Status of Project Milestones**

Each milestone for the entire project should be entered into this table at the beginning of the grant period and should appear in every quarterly report (unless it is dropped from the project). With each quarterly report fill in dates or add milestones as appropriate. If dates or milestones are adjusted in subsequent reports, please indicate this in the comment field or in text below the table.

<i>Project Milestones</i>	<i>Anticipated Completion Date</i>	<i>Completion Date</i>	<i>Comments</i>
Finalize arrangements with IDEM Information Technology staff to provide project support on use of Indiana RCRA Activity Tracking System's	July 1, 2001		Development of application is currently underway; it is anticipated that it will be completed and operational by June

(IRATS) database as a model for the project database.			15, 2002.
Define universe of auto salvage facilities to be included in project.	August 1, 2001	July 3, 2001	Universe has been defined. We do, however, continue to add facilities to the list as we become aware of them.
<i>Project Milestones</i>	<i>Anticipated Completion Date</i>	<i>Completion Date</i>	<i>Comments</i>
Identify and assemble multimedia project staff group.	May 31, 2001	May 9, 2001	
Identify additional technical staff.	June 15, 2001	May 9, 2001	
Obtain copy of ArcView Spatial Analyst GIS software.	October 1, 2001	July 20, 2001	Arrangements have been made with IDEM's IT group to provide GIS support, therefore purchase of this software will not be necessary
Develop draft compliance assistance manual cover letter, and informational brochure for the public.	August 1, 2001		In development. Cover letter will be complete by August 1, 2002. It is anticipated that the brochure will be complete by September 1, 2002.
Develop multimedia inspection checklist.	October 1, 2001		Development continues. It is anticipated that the checklist will be ready for use by Sept. 1, 2002. Checklist will be field-tested prior to inspection phase of project.
Examine available information and determine pre-initiative compliance rates.	October 1, 2001		This information will be obtained once the project database becomes functional.
Coordinate Global Positioning System (GPS) measurement program with the Indiana Department of Natural Resources (IDNR).	August 1, 2001		GPS coordinates have been obtained for facilities within 80 out of 92 counties. We are working with Indiana Dept. of Natural Resources to obtain coordinates for facilities in the remaining counties.
Convey list of auto salvage facilities to all local health departments and seek input on the list.	September 1, 2000	September 1, 2000	

Develop distribution of categories for measured parameters for use in post-project compliance rate measurement.	November 1, 2001		In development.
<i>Project Milestones</i>	<i>Anticipated Completion Date</i>	<i>Completion Date</i>	<i>Comments</i>
Finalize multimedia audit checklist, assistance manuals and brochures.	December 1, 2001		Development continues. Draft manual and audit checklist will be distributed to external parties for review and comment by June 15, 2002.
Develop training workshops and complete arrangements for training locations and other administrative details.	January 15, 2002		Internal workgroup is in the process of identifying agenda items to be included in workshop.
Mail invitations for training workshops.	January 15, 2002		In development.
Publicize project in IDEM external publications, press releases, and notices to appropriate trade associations.	January 15, 2002		Press releases will be issued during July and August, 2002. Notice of workshops will also be posted on auto salvage project website ( <a href="http://www.IN.gov/idem/opa/autosalvage.html">www.IN.gov/idem/opa/autosalvage.html</a> )
Continually update tracking database with output activities.	June 30, 2004		This will occur continuously throughout the course of the project.
Promote IDEM's Self-disclosure policy.	July 31, 2001		Will be accomplished via information provided in manual, during workshops and on website.
Determine priority areas for purposes of compliance evaluation inspection targeting.	August 1, 2002		Will be completed prior to commencement of inspection phase.
Conduct project staff cross-training.	December 15, 2001		Informal cross-training is currently occurring. A more formalized program will be established in the coming months.

Distribute assistance manuals and brochures.	December 1, 2001		The manual is currently in development. Anticipated completion timeframe is August, 2002.
<i>Project Milestones</i>	<i>Anticipated Completion Date</i>	<i>Completion Date</i>	<i>Comments</i>
Place compliance manual and workshop information on IDEM's website, along with links to IDEM's Vehicle Maintenance manual and other applicable or appropriate web addresses both inside and outside the agency.	December 15, 2001		An auto salvage initiative webpage has been established on IDEM's website at <a href="http://www.IN.gov/idem/opa/autosalvage.html">www.IN.gov/idem/opa/autosalvage.html</a> This site will be updated to include the manual and information about the workshops as they become available.
Develop and conduct training workshops to be offered at various Small Business Development Centers throughout the state.	July 31, 2002		IDEM will begin offering workshops around Sept. 1, 2002 and will conclude them around November 30, 2002.
Offer and conduct on-site audits to auto salvage facilities.	July 31, 2002		On-site audits will begin around September 1, 2002.
Utilize database and GIS information to target facilities for compliance evaluation inspections in priority areas.	August 1, 2002		Targeting efforts will begin as soon as the project database is functional.
Review pre- and post-workshop surveys to determine whether an increase in knowledge and understanding of environmental rules has occurred as a result of the individual's workshop attendance.	September 1, 2002		Will be accomplished at the conclusion of the workshop phase.
Conduct compliance evaluation inspections.	August 1, 2002		Inspections will commence around December 1, 2002.
Draft and finalize mid-initiative progress report detailing the process, information gathered and	August 1, 2002		

conclusions drawn to date.			
Initiate timely and appropriate enforcement actions, where appropriate.	July 30, 2004		
Reassess pre-initiative compliance rates.	July 31, 2003		
<i>Project Milestones</i>	<i>Anticipated Completion Date</i>	<i>Completion Date</i>	<i>Comments</i>
Begin follow-up inspections to determine new or recurrent significant violations.	November 1, 2002		Followup inspections will commence after inspection phase has begun.
Update tracking database with outcome measures resulting from output activities.	July 30, 2004		This will be an on-going milestone, as we will continue to update the database through the completion of the project.
Contact the Indiana State Police (ISP) to obtain aerial photographs of facilities, where necessary.	July 30, 2004		This will be an on-going milestone, as we will continue to collect information on auto salvage facilities through the completion of the project.
Make non-notifier referrals to the Indiana Bureau of Motor Vehicles (BMV), as appropriate.	July 30, 2004		This will be an on-going milestone, as we will continue to collect information on auto salvage facilities through the completion of the project. This information has been and will continue to be forwarded to the BMV via a standardized referral from which has been reviewed and approved by the BMV.
Make referrals to IDEM's Office of Criminal Investigations, as appropriate.	July 30, 2004		This will be an on-going milestone, as we will continue to collect information on auto salvage facilities through the completion of the project.
Identify improvements resulting from integrated enforcement and compliance assurance activities.	July 30, 2004		Will be reflected in final project report.

Continue follow-up inspections and documentation of new or recurrent significant violations.	July 30, 2004		This will be an on-going milestone, as we will continue to collect information on auto salvage facilities through the completion of the project.
<i>Project Milestones</i>	<i>Anticipated Completion Date</i>	<i>Completion Date</i>	<i>Comments</i>
Begin to determine post-initiative compliance rates.	July 30, 2004		Will be reflected in final project report.
Analyze environmental indicators.	July 30, 2004		Will be reflected in final project report.
Write prototype model summary report.	July 30, 2004		

### **III. Status of Project Completion.**

Anticipated project completion date: **July 30, 2004**

### **IV. Results:**

Project summary report will be provided at the conclusion of the project.